

00050.105200 (J-3782)

### **PATENT APPLICATION**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Matthew S. Montei, et al.

Application No.: 10/632,164

Filed: August 1, 2003

For: LUMINARY PRODUCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Examiner: Not Assigned		
Group Art Unit:	2875	

May 11, 2004

FOR	U.S.P.T.C	). USE	ONLY
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(Granted or Denied)

Rule 1.136(a) Petition:

Rule 1.136(b) Petition:

Signature: \_\_\_\_\_Printed Name:

Title:

Date:

# Combined PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a) and PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(b)

Sir:

Applicants received a NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION ("Notice"), dated October 28, 2003, in the above-identified application. The Notice set forth a non-statutory reply period of two months (i.e., until December 28, 2003).

For reasons explained below, Applicants request both (i) an extension of time of five months (i.e., from December 28, 2003, to May 28, 2004) under 37 C.F.R. § 1.136(a), and (ii) an additional extension of time of three months (i.e., from May 28, 2004, to August 28, 2004) under 37 C.F.R. § 1.136(b), in order to file a reply to the Notice.

A check in the amount of \$2,010.00 is enclosed for payment of the five-month extension request under 37 C.F.R. § 1.136(a). No fee is believed required for the three-month extension request under 37 C.F.R. § 1.136(b). However, the Director is

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authorized to charge any fees associated with these requests for extensions of time, or credit any overpayment, to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

# Reasons Showing Sufficient Cause for Additional 3-Month Extension of Time

The reasons for requesting the additional three-month extension of time under 37 C.F.R. § 1.136(b) to file a reply to the Notice are as follows.

One of the joint inventors, Anthony G. Micele, Jr., died before the above-identified application was filed, and before he signed an inventor's Declaration. At the time of his death, Mr. Micele had been employed by and contractually obligated to assign his rights in this application to S.C. Johnson & Son, Inc., of Racine, Wisconsin. S.C. Johnson is in the process of petitioning Wisconsin's Kenosha County Circuit Court to appoint a special administrator with specific power to sign an inventor's Declaration on behalf of Mr. Micele. This process may take at least until August 28, 2004, to complete, because of public notice requirements, hearings, and other formal legal procedures subject to the court's discretion.

S.C. Johnson was unable to begin the aforementioned petitioning process until after April 6, 2004, because it was unable to confirm that Mr. Micele was an actual inventor until that date, as explained below.

This application was filed on August 1, 2003. By September of 2003, S.C. Johnson had discovered that, without information known only to Mr. Micele and one of its contract manufacturers, ARC International of Arques, France, S.C. Johnson could not identify the actual inventors. On October 28, 2003, S.C. Johnson contacted ARC's outside patent counsel and requested any and all information known to ARC regarding inventorship in this application. (The PTO also mailed the Notice on that date.) After repeated requests by S.C. Johnson's undersigned representative of ARC's outside patent

counsel, on December 22, 2003, ARC's outside patent counsel informed S.C. Johnson's undersigned representative that inquiries of ARC's employees in France were ongoing. On February 27, 2004, ARC's outside patent counsel provided S.C. Johnson with the information he had obtained. However, S.C. Johnson believed that more information existed and was necessary for a determination of inventorship, and in March of 2004, S.C. Johnson requested to meet with certain ARC employees and their patent counsel regarding inventorship. That meeting was held on April 6, 2004, in Racine, Wisconsin. Based on information learned during that meeting, S.C. Johnson confirmed that Mr. Micele was a joint inventor in this application.

\* \* \*

Applicants submit that the facts set forth above establish sufficient cause for extending the time period for reply to the Notice by an additional three months, from May 28, 2004, to <u>August 28, 2004</u>. Applicants request favorable consideration of their combined petitions.

Applicants' undersigned attorney can be reached in the Washington, D.C. office of Fitzpatrick, Cella, Harper & Scinto by telephone at (202) 530-1010. All correspondence should be directed to the address given below for S.C. Johnson & Son, Inc.

Respectfully submitted

Stephen E. Belisle Registration No. 46,546

Attorney for Applicants

Fitzpatrick, Cella, Harper & Scinto 30 Rockefeller Plaza

New York, New York 10112-3800

Fax: 212-218-2200

S.C. Johnson & Son, Inc. Patent Section, M.S. 077 1525 Howe Street Racine, Wisconsin 53403



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS DO Not 1450 Alexandria, Virginia 22313-1450 www.unptu.gov

FIRST NAMED APPLICANT

APPLICATION NUMBER LING OR 371 (c) DATE

MAY 1 1 2004

Matthew S. Montei

ATTORNEY DOCKET NUMBER

10/632,164

08/01/2003

J-3782

**CONFIRMATION NO. 1885** 

28165

S.C. JOHNSON & SON, INCENTAGE 1525 HOWE STREET RACINE, WI 53403-2236

**FORMALITIES LETTER** 

OC000000011119569\*

Date Mailed: 10/28/2003

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE